

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A SUBMISSION UNDER 35 U.S.C. 371**

ATTORNEY'S DOCKET NUMBER

107-318

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

107523731

INTERNATIONAL APPLICATION NO.
PCT/CH2003/000417

INTERNATIONAL FILING DATE
25 June 2003

PRIORITY DATE CLAIMED
07 August 2002

TITLE OF INVENTION

WINCH, PARTICULARLY FOR DRIVING AN UMBRELLA

APPLICANT(S) FOR DO/EO/US

Glatz AG

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☒ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☒ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☒ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☒ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A preliminary amendment.
14. ☐ An Application Data Sheet under 37 CFR 1.76.
15. ☐ A substitute specification.
16. ☐ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.
18. ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information: International Search Report - attached to International application

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICATION NO. (if known, see 37 CFR 1.5) <div style="font-size: 24pt; font-weight: bold; text-align: center;">10/523731</div>		INTERNATIONAL APPLICATION NO. PCT/CH2003/000417		ATTORNEY'S DOCKET NUMBER UP-318											
21. The following fees are submitted: <input checked="" type="checkbox"/> a) Basic national fee.....\$300.00 <input checked="" type="checkbox"/> b) Examination fee.....\$200.00 <input checked="" type="checkbox"/> c) Search fee.....\$500.00 <div style="text-align: right; margin-top: 10px;"> TOTAL OF ABOVE CALCULATIONS = \$1000.00 </div> <input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.				<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <th style="width:50%;">Applicant use</th> <th style="width:50%;">Office use only</th> </tr> <tr> <td>\$ 300.00</td> <td></td> </tr> <tr> <td>\$ 200.00</td> <td></td> </tr> <tr> <td>\$ 500.00</td> <td></td> </tr> <tr> <td>\$ 1000.00</td> <td></td> </tr> </table>		Applicant use	Office use only	\$ 300.00		\$ 200.00		\$ 500.00		\$ 1000.00	
Applicant use	Office use only														
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Total Sheets	Extra sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE												
- 100 =	/50 =		x \$250.00	\$											
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$											
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE												
Total claims	23 - 20 =	3	x \$50.00	\$ 150.00											
Independent claims	1 - 3 =	0	x \$200.00	\$ 0.00											
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$360.00	\$ 0.00											
TOTAL OF ABOVE CALCULATIONS =				\$1150.00											
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$ 575.00											
SUBTOTAL =				\$ 575.00											
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$ 0.00											
TOTAL NATIONAL FEE =				\$ 575.00											
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				\$ 40.00											
TOTAL FEES ENCLOSED =				\$ 615.00											
Amount to be refunded:					\$										
Amount to be charged					\$										
a. <input checked="" type="checkbox"/> A check in the amount of \$ <u>615.00</u> to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>160248</u> . A duplicate copy of this sheet is enclosed. d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.															
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.															
SEND ALL CORRESPONDENCE TO: <u>George Pappas</u> <u>Harrison Place, Suite 300</u> <u>919 S. Harrison Street,</u> <u>Fort Wayne, IN 46802</u> Tel: (260) 426-2340 Fax: (260) 426-2223															
				 SIGNATURE <u>George Pappas</u> NAME <u>32,287</u> REGISTRATION NUMBER											

10/523731
J12 Rec'd PCT/PTO 31 JAN 2005

GEORGE PAPPAS
PATENT AND TRADEMARK ATTORNEY

ADMITTED IN INDIANA
AND BEFORE THE U.S.
PATENT AND TRADEMARK OFFICE

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January 31, 2005

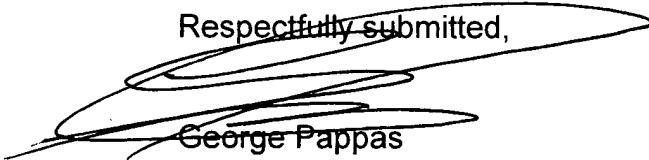
Mail Stop PCT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450, USA

Re: U.S. National (PCT) Application for U.S. Letters Patent under 35 USC §371
International Filing Date: June 25, 2003
Priority Date: August 7, 2002
International Application No.: PCT/CH2003/000417
Applicant: Glatz AG
Title: Winch, Particularly for Driving an Umbrella
File No.: UP-318

Sir:

Enclosed herewith is a Transmittal Letter (Form PTO-1390) and all of the enclosures indicated therein for filing the above-identified U.S. National (PCT) Application under 35 USC §371. Please acknowledge receipt of this cover letter, the Transmittal Letter (Form PTO-1390), and all enclosures indicated thereon by file stamping and returning the enclosed return postcard.

Respectfully submitted,


George Pappas
Registration No. 32,287
Attorney for Applicant

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"Express Mail" label number: ET 183713910US
Date of Deposit: January 31, 2005

I hereby certify that the following attached paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Mail Stop PCT, Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450, USA.

Jodie Frecker

(Typed name of person mailing paper or fee)


(Signature of person mailing paper or fee)